**Violation or Potential Problem Corrective Action Assessment (US VGP CAS)**

(reference [EMM](http://srv-glas301:82/Leisure/content/parent%20category%20topics/procedures%20and%20operations/emm.htm) > 8.0 Vessel General Permit (VGP))

Ship Name:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 1. Description of the Problem or Violation discovered     Type:  Effluent limit:  Inspection finding:  Control Measure:  BMP:  Other: | | | | 1.1 Annual Reference Number:  ship alias/cons. number/ year:  (ie; explorer/01/2008)       /     /  Revision no: |
| 1.2Date       and time       problem or violation occurred |
| 1.3 Is this a : Permit Violation  or a Potential Problem (Near Miss)  Cite (paragraph)SMS reference or Permit Reference (if known) for Violation or Potential Problem:  VMS reference: [EMM](http://srv-glas301:82/Leisure/content/parent%20category%20topics/procedures%20and%20operations/emm.htm) > 8.0 Vessel General Permit (VGP).       or VGP reference: para: | | | | 1.4 Distance from US baselines when Problem or Violation Occurred:        nm |
| 1.5 Types of impacts observed: | | | | 1.5 Location:  Port or Lat / Long: |
| * 1. Name, Title and signature of person who identified the problem: | | | * 1. Name, Title and signature of person who identified the problem: | |
| 2.0 Cause:  known  unknown | 2.1 If Cause known – explanation :  Immediate Cause:  Root Cause: | | | |
| 2.2 If Cause unknown – steps taken to determine it: | | | | |
| 3.0 Corrective Action Plan (CAP) | | | | |
| .3.1 Discussions held to determine CAP: | | | | |
| 3.2 CAP Description: | | | | |
| 3.3 Time frame for CAP completion: | | 3.4 Persons in Charge (PIC): | | |
| 3.5 Type of Corrective Action Schedule CAS([EMM](http://srv-glas301:82/Leisure/content/parent%20category%20topics/procedures%20and%20operations/emm.htm) > 8.0 Vessel General Permit (VGP)):  Immediate:  Minor Adjustment:  Major Adjustment:  Major renovation (dry dock required): | | 3.6 If a Corrective Action Assessment was previously conducted:  Reference:      /     /      and date:  if revisions are needed for this violation: | | |
| 3.7 Date and time this form as completed to item 3.6 sent ashore to DPA: | | | | |
| 4.0 Corrective Action (CA) Implementation. Description of action(s) taken: | | | | |
| 4.1 CA implemented. Date       and time | | 4.2 Name, title and signature of person recording the information: | | |
| 4.3 Date and time this form as completed to item 4.2 sent ashore to DPA: | | | | |

Keep on file for three years